



*Ript*

Attorney Docket No.: 14527/05201

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

U.S. Application of: John H. ERICKSON, Scott F. DREES,  
Terry DAGLOW, and John Connell  
MUNSON, Jr.

For: STIMULATION/SENSING LEAD ADAPTED  
FOR PERCUTANEOUS INSERTION

U.S. Serial No.: 09/927,225

Filed: August 10, 2001

Group Art Unit: 3762

Examiner: To Be Assigned

Assistant Director for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Director for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, DC 20231, on

**OCTOBER 30, 2001**

Date of Deposit

**DOUGLAS A. SORENSEN**

Name of Applicant, Assignee, or Registered Representative

*[Signature]*

Signature

**OCTOBER 30, 2001**

Date of Signature

**SECOND REQUEST FOR CORRECTION OF FILING RECEIPT**

Applicants hereby request that the filing receipt data of the above-identified U.S. patent application as listed on the records of the Patent and Trademark Office be corrected.

Submitted herewith is a copy of the Filing Receipt indicating in red that the fourth listed inventor's name should be corrected as follows:

Delete "John Comell Munson JR.," and insert  
--John Connell MUNSON, Jr.,--.

Enclosed is a copy of the executed Declaration and  
Power of Attorney as filed with the Completion of  
Application on October 16, 2001, indicating the correct  
spelling of the fourth inventor's name.

Accordingly, it is respectfully requested that the  
fourth listed inventor's name concerning the present  
application be corrected.

It is believed that no fee is incurred by this  
filing. However, if it should be determined that a fee  
is required, please charge any fees (other than an  
issue fee) required during the pendency of this  
application to Deposit Account No.18-1260. Please  
credit any overpayment to Deposit Account No. 18-1260.

Respectfully submitted,



---

Douglas A. Sorensen  
Registration No. 31,570  
Attorney for Applicants

DAS/mhg  
SIDLEY AUSTIN BROWN & WOOD  
717 North Harwood  
Suite 3400  
Dallas, Texas 75201-6507  
(214) 981-3482 (direct)  
(214) 981-3300 (main)  
October 30, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/927,225	08/10/2001	3762	500	14527/05201	7	13	5

CONFIRMATION NO. 4650

26116  
SIDLEY AUSTIN BROWN & WOOD  
717 NORTH HARWOOD  
SUITE 3400  
DALLAS, TX 75201

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OCT 26 2001

SIDLEY AUSTIN BROWN &amp; WOOD

## UPDATED FILING RECEIPT



\*OC00000006966398\*

Date Mailed: 10/24/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

John H. Erickson, Plano, TX;  
Scott F. Drees, McKinney, TX;  
Terry Daglow, Allen, TX;  
~~John Comell Munson, Jr., McKinney, TX;~~  
John Connell Munson, Jr.,

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/635,910 08/10/2000

## Foreign Applications

If Required, Foreign Filing License Granted 09/14/2001

Projected Publication Date: 02/14/2002

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Stimulation/sensing lead adapted for percutaneous insertion

## Preliminary Class

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/927,225	08/10/2001	John H. Erickson	14527/05201

CONFIRMATION NO. 4650

## FORMALITIES LETTER



\*OC000000006561088\*

26116  
SIDLEY AUSTIN BROWN & WOOD  
717 NORTH HARWOOD  
SUITE 3400  
DALLAS, TX 75201



Date Mailed: 09/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Attorney Docket No.: 14527/05201

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

U.S. Application of: John H. ERICKSON, Scott F. DREES,  
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FOR PERCUTANEOUS INSERTION

U.S. Serial No.: 09/927,225

Filed: August 10, 2001

Group Art Unit: 3762

Examiner: To Be Assigned

EL794568446US

**Box Missing Parts**  
Assistant Director for Patents  
Washington, DC 20231

Dear Sir:

Express Mail Mailing Label No.: EL794568446US

Date of Deposit: OCTOBER 16 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Box Missing Parts, Assistant Director for Patents, Washington, DC 20231.

**DERRICK T. GORDON**

Name of Person Mailing Paper or Fee

*Derrick T. Gordon*  
Signature

OCTOBER 16, 2001

Date of Signature

**COMPLETION OF APPLICATION**

A Notice to File Missing Parts of Nonprovisional Application (copy enclosed) was mailed on September 17, 2001, indicating that the Declaration filed with the above-identified U.S. patent application was unexecuted.

Application Serial No. 09/927,225


Applicants submit herewith the Declaration executed by the Applicants on October 10, 2001, October 10, 2001, October 10, 2001, and October 10, 2001, respectively.

The executed Declaration identifies the Specification by title, U.S. Serial No., filing date, inventors, and attorney docket number.

A Request for Correction of Filing Receipt is being filed concurrently herewith.

Please charge the \$65 fee to Sidley Austin Brown & Wood's Deposit Account No. 18-1260. Please charge any additional fees (other than issue fee) required during the pendency of this application to Sidley Austin Brown & Wood's Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260. A duplicate copy of this letter is enclosed herewith.

Respectfully submitted,

  
\_\_\_\_\_  
Douglas A. Sorensen  
Registration No. 31,570  
Attorney for Applicants

DAS/mhg  
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Dallas, Texas 75201-6507  
(214) 981-3482 (direct)  
(214) 981-3300 (main)  
October 16, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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WASHINGTON, DC 20231  
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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09927225	08/10/01	John H. Erickson	14527/05201

SIDLEY AUSTIN BROWN & WOOD  
717 NORTH HARWOOD  
SUITE 3400  
DALLAS, TEXAS 75201-6507

Date Mailed: 01/11/02

**RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT****Software Limitations**

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because of the software limitations as specified below:

- ☐ The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- ☐ Superscript and subscript are not possible. These characters will appear on the same line.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined).
- ☐ The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The inventor's country of residence is taken from a preset listing of countries. This preset listing does not contain the country name that you have requested.
- ☐ The docket number allows a maximum of 25 characters.
- ☐ Foreign priority will appear on the Filing Receipt in the following order:  
**Country, Application number, Filing date.**

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☐ The person signing on behalf of the deceased inventor is reflected on the Filing Receipt as the legal representative.

☐ Only one character per space is allowed. Therefore, punctuation that is usually placed above or below a letter, such as an umlaut (ë), cannot be included on the Filing Receipt.

☒ The suffixes SR. and JR. will only appear in upper case.

☐ The semicolon following the assignee information cannot be deleted.

\_\_\_\_\_  
RUTH BERHEI  
Customer Service Center  
Office of Initial Patent Examination  
(703) 308-1202